

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
NATURES.025AAPPLICATION NO.  
UnknownINFORMATION DISCLOSURE STATEMENT  
BY APPLICANT

(USE SEVERAL SHEETS IF NECESSARY)

APPLICANT  
Peter T. McCarthyFILING DATE  
July 18, 2003GROUP  
Unknown

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
<i>Ar</i>	1	5,384	12/4/1847	R.A. Tilghman	—	—	
<i>Ar</i>	2	144,538	11/11,1873	Harsen	—	—	
<i>Ar</i>	3	169,396	11/2/1875	O.G. Ahlstrom	—	—	
	<del>4</del>	<del>324,366</del>	<del>05/20/26</del>	<del>Bevey</del>	—	—	
	<del>5</del>	<del>476,002</del>	<del>12/04/87</del>	<del>Valento</del>	—	—	
<i>Ar</i>	6	783,012	02/21/05	Biedermann et al.	—	—	
	<del>7</del>	<del>787,201</del>	<del>unknown</del>	<del>Michiolo</del>	—	—	
<i>Ar</i>	8	871,059	11/12/07	Douse	—	—	
	9	983,967	2/14/11	Woods	—	—	
	10	998,146	07/18/11	Alfier et al.	—	—	
	11	1,061,264	5/13/1913	Bys	—	—	
	12	1,011,767	12/12/11	Farum	—	—	
	13	1,063,805	6/3/13	Krause	—	—	
	14	1,324,722	12/11/1917	William Bergin	—	—	
	15	1,607,857	5/27/1926	F. Zukal	—	—	
	16	1,684,714	08/12/27	Perry	—	—	
	17	1,710,873	4/23/29	Bonney	—	—	
	18	2,123,096	7/5/38	Charpentier	—	—	
	19	2,210,842	8/6/40	Thompson	—	—	
	20	2,241,305	02/27/39	Hill, Jr.	—	—	
	21	2,321,009	9/27/1940	O.P. Churchill	—	—	
	22	2,343,468	3/7/1944	Messinger	—	—	
	23	2,423,571	07/08/47	Wilen	—	—	
	24	2,576,981	12/4/51	Vogt	—	—	
	25	2,588,363	03/11/52	Cortieu	—	—	
	26	2,846,165	8/5/58	Axelson	—	—	
✓	27	2,850,487	9/2/1958	Gaetano F. D'Aiello	—	—	

EXAMINER

*WRIGHT*

DATE CONSIDERED

*5/14/04*

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<i>Ar</i>	28	2,885,033	12/23/1958	D.L. Jayet	—	—	
	29	2,889,563	6/9/1959	E.W. Lamb, et. al.	—	—	
	30	2,903,719	9/15/1959	J.L. Wozencraft	—	—	
	31	2,950,487	08/30/60	Woods	—	—	
	32	3,019,458	2/6/1962	E. De Barbieri, et. al.	—	—	
	33	3,055,025	9/25/1962	L. Ferraro, et. al.	—	—	
	34	3,082,442	3/26/1963	Cousteau, et al.	—	—	
	35	3,084,355	04/09/63	Ciccotelli	—	—	
	36	3,086,492	04/23/63	Holley	—	—	
	37	3,109,495	11/5/63	Lang	—	—	
	38	3,112,503	12/3/1963	B.B. Girden	—	—	
	39	3,171,142	3/2/1965	Auzols	—	—	
	40	3,239,857	3/15/1966	Frederick H. Gwynne	—	—	
	41	3,256,540	6/21/1966	Novelli	—	—	
	42	3,369,775	2/20/68	Rethorst	—	—	
	43	3,411,165	11/19/68	Murdoch	—	—	
	44	3,411,738	11/19/68	Sargent	—	—	
	45	3,422,470	01/21/69	Mares	—	—	
	46	3,453,981	07/08/69	Gause	—	—	
	47	3,480,234	11/25/69	Comish III	—	—	
	48	3,481,997	01/27/70	Winters	—	—	
	49	3,596,854	8/3/71	Haney, Jr.	—	—	
	50	3,665,535	5/30/1972	Picken	—	—	
	51	3,776,363	12/4/73	Kuetha	—	—	
	52	3,773,011	11/20/73	Gronier	—	—	
	53	3,776,363	12/4/73	Kuetha	—	—	
	54	3,810,269	5/14/74	Tabata	—	—	

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*WR/ht*

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<i>hr</i>	55	3,904,151	9/9/75	Rethorst	—	—	
	56	3,922,741	12/02/75	Semeia	—	—	
	57	3,934,290	01/27/76	Le Vasseur	—	—	
	58	3,952,351	04/27/76	Gisbert	—	—	
	59	4,007,506	2/15/1977	Rasmussen	—	—	
	60	4,025,977	5/31/1977	Cronin	—	—	
	61	4,083,071	04/11/78	Forjot	—	—	
	62	4,108,403	8/22/78	Finch	—	—	
	63	4,193,371	03/18/80	Baulard-Caugan	—	—	
	64	4,197,869	04/15/80	Moncrieff-Yeates	—	—	
	65	4,209,866	7/1/1980	Loeffler	—	—	
	66	4,293,110	10/6/81	Middleton et al.	—	—	
	67	4,323,209	4/6/82	Thompson	—	—	
	68	4,342,558	08/03/82	Wilson	—	—	
	69	4,382,569	5/10/83	Boppe et al.	—	—	
	70	4,444,367	4/24/84	Spillman	—	—	
	71	4,521,220	06/04/85	Schoofs	—	—	
	72	4,541,810	09/17/85	Wenzel	—	—	
	73	4,657,515	4/14/1987	Ciccotelli	—	—	
	74	4,689,029	8/25/1987	Ciccotelli	—	—	
	75	4,705,240	10/10/87	Dixon	—	—	
	76	4,722,499	2/2/88	Klug	—	—	
	77	4,738,645	04/19/88	Garofalo	—	—	
	78	4,752,259	6/21/1988	Tackett, et. al.	—	—	
	79	4,773,885	9/27/1988	Ciccotelli	—	—	
	80	4,775,343	10/4/1988	Lamont, et. al.	—	—	
<i>✓</i>	81	4,778,535	10/11/88	Paterson et al.	—	—	

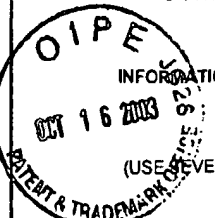
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 <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p>(USE SEVERAL SHEETS IF NECESSARY)</p>		APPLICANT Peter T. McCarthy	
		FILING DATE July 18, 2003	GROUP Unknown

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
<i>h</i>	82	4,776,542	10/11/88	Van Dam	—	—	
	83	4,781,637	11/01/88	Caires	—	—	
	84	4,820,218	04/11/89	Van de Pol	—	—	
	85	4,857,024	08/15/89	Evans	—	—	
	86	4,869,896	9/26/1989	Ciccotelli	—	—	
	87	4,895,537	1/23/1990	Ciccotelli	—	—	
	88	4,929,206	5/29/1990	Evans	—	—	
	89	4,934,971	06/19/90	Picken	—	—	
	90	4,940,437	07/10/90	Platt	—	—	
	91	4,944,703	07/31/90	Mosier	—	—	
	92	4,949,919	8/11/90	Wajnikonis	—	—	
	93	4,954,111	09/04/90	Cressi	—	—	
	94	4,954,112	09/04/90	Negrini et al.	—	—	
	95	4,975,022	12/4/90	Perry	—	—	
	96	5,058,837	10/22/91	Wheeler	—	—	
	97	5,074,234	12/24/91	Stearns, IV	—	—	
	98	5,150,859	9/29/92	Ransick	—	—	
	99	5,183,859	11/17/92	Beltrani, et al.	—	—	
	100	5,304,081	4/19/1994	Takizawa	—	—	
	101	5,324,219	06/28/94	Beltrani et al.	—	—	
	102	5,358,323	10/18/94	Evans	—	—	
	103	5,358,094	10/18/94	Sylvain	—	—	
	104	5,358,439	10/25/94	Paolo	—	—	
	105	5,368,177	11/22/94	DeCoux	—	—	
	106	5,374,210	12/20/94	Sardella	—	—	
	107	5,387,145	2/7/1995	Wagner	—	—	
<i>✓</i>	108	5,417,599	05/23/95	Evans	—	—	

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U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
<i>Am</i>	109	5,429,536	7/4/1995	Evans	—	—	
	110	5,435,764	7/25/1995	Testa, et. al.	—	—	
	111	5,443,593	8/22/1995	Garofalo	—	—	
	112	5,522,748	6/4/1996	Cressi	—	—	
	113	5,527,197	6/18/1996	Evans	—	—	
	114	5,533,918	7/9/1996	Sanders	—	—	
	115	5,595,518	1/21/1997	Ours	—	—	
	116	5,597,336	1/28/1997	Evans	—	—	
	117	5,634,613	6/3/97	McCarthy	—	—	
	118	5,746,631	5/5/1998	McCarthy	—	—	
	119	5,810,629	9/22/1998	Parr	—	—	
	120	6,050,868	4/18/00	McCarthy	—	—	
	121	6,053,788	4/25/00	Garofalo	—	—	
	122	6,095,879	8/2000	McCarthy	—	—	
	123	6,126,503	10/3/00	Viale, et al.	—	—	
	124	6,146,224	11/14/00	McCarthy	—	—	
	125	6,290,561 B1	9/18/01	Garofalo et al.	—	—	
	126	6,364,728 B1	4/2/02	Viale et al.	—	—	
	127	6,371,821	4/16/02	McCarthy	—	—	
	128	6,413,133 B1	7/2/02	McCarthy	—	—	
	129	6,482,059 B2	11/19/02	McCarthy	—	—	
	130	6,497,597	12/24/02	McCarthy	—	—	
	131	6,585,548	7/1/03	McCarthy	—	—	
	132	6,607,411	8/19/03	McCarthy	—	—	
	133	Des. 278,185	3/26/1985	Evans	—	—	
	134	Des. 302,999	8/22/1989	McCredie	—	—	
↓	135	Des. 327,933	7/14/1992	Evans	—	—	

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<i>Ar</i>	136	Des. 327,934	7/14/1992	Evans	—	—	
	137	Des. 327,935	7/14/1992	Evans	—	—	
	138	Des. 328,118	7/21/1992	Evans	—	—	
	139	Des. 340,272	10/12/1993	Evans	—	—	
	140	Des. 353,181	12/8/1994	Evans	—	—	
	141	Des. 393,684	4/21/1998	Lin	—	—	
	142	Des. 396,897	8/11/1998	Evans	—	—	
<i>Ar</i>	143	Des. 404,456	1/19/1999	Evans	—	—	

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	144	1501208	Nov 1987	France				
	145	509764	2/1990	Germany				
	146	DD 239 333 A	05/24/88	Germany				
	147	FR 2674 748 A	06/26/86	France				
	148	JP62 134305	06/17/87	Japan				
	149	SU 1323 403 A	03/13/88	Soviet Union				
	150	0 308 008	03/20/80	Europe				
	151	DE 3438800	04/24/88	Germany				
	152	2 068 041	1971	France				
	153	2 213 072	1974	France				
<i>Ar</i>	154	625377	09/19/61	Italy	—	—		
<i>Ar</i>	155	625377	09/19/61	ITALY (with Certified Translation)	—	—	X	
<i>Ar</i>	156	1,245,395	1960	France	—	—		
<i>Ar</i>	157	1,245,395	9/26/60	FRANCE (with Certified Translation)	—	—	X	
<i>Ar</i>	158	17,033	1890	England	—	—		

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							YES	NO
		<del>159</del>	<del>787,291</del>	<del>1933</del>	<del>France</del>			
		<del>160</del>	<del>553307</del>	<del>1958</del>	<del>Italy</del>			
		<del>161</del>	<del>61,6097</del>	<del>1980</del>	<del>Japan</del>			
<i>Ar</i>		162	1,075 997	1960	Germany	—	—	
<i>Ar</i>		163	1,075 997	1960	Germany (with Certified Translation)	—	—	X
<i>Ar</i>		164	1284765	1972	Great Britain	—	—	
<i>Ar</i>		165	2 543 841	1983	France	—	—	
<i>Ar</i>		166	2 543 841	1983	French Republic (certified translation)	—	—	X
<i>Ar</i>		167	234305	5/28/1925	Great Britain	—	—	
		<del>168</del>	<del>2,490,498</del>	<del>3/26/82</del>	<del>France</del>			
		<del>169</del>	<del>1,200,690</del>	<del>1959</del>	<del>France</del>			
		<del>170</del>	<del>SU 1330303 A1</del>	<del>6/23/86</del>	<del>Soviet Union</del>			
		<del>171</del>	<del>0 482 932 A1</del>	<del>4/20/92</del>	<del>Europe</del>			
		<del>172</del>	<del>0 768 302 A2</del>	<del>10/0/96</del>	<del>Europe</del>			
		<del>173</del>	<del>768302</del>	<del>1/1034</del>	<del>France</del>			
		<del>174</del>	<del>0 600 764 A1</del>	<del>4/14/92</del>	<del>Europe</del>			

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
<i>Ar</i>	175	Rossier, Robert N., entitled, "Moving Forward - Getting your best kick in the water can come from a combination of design, efficiency and hydrodynamics in fins". ALERT DIVER, Nov-Dec 1997, pages 26 - 28.
	176	Triantafyllou, Michael S. and Triantafyllou George S., entitled, "An Efficient Swimming Machine". SCIENTIFIC AMERICAN, March 1995, pages 64-70.
	177	"3302 of 1880" (Picture From Book-Found in US PTO)
	178	"Test Dive" Article in Jan/Feb 1996 issue of <i>Sport Diver Magazine</i>
	179	"Oceanic V-Drive Fins" in May/Jun. 1996 Issue of <i>Sport Diver Magazine</i>
	180	Walton and Katz "Application of Leading-Edge Vortex Manipulations to Reduce Wing Rock Amplitudes" <i>Journal of Aircraft</i> Vol. 30, No. 4, pp. 555-559
	181	Traub and Nurick "Effects of Wing-Tip Vortex Flaps" <i>Journal of Aircraft</i> Vol. 30, No. 4, pp. 557-559
	182	Grantz and Marchman III "Trailing Edge Flap Influence on Leading Edge Vortex Flap Aerodynamics", <i>Journal of Aircraft</i> Vol. 20, No. 2, pp. 165-169
	183	Rao "An Exploratory Study of Area-Efficient Vortex Flap Concepts" <i>Journal of Aircraft</i> , Vol. 20, No. 12, pp. 1082-1087

EXAMINER

*Wright*

DATE CONSIDERED

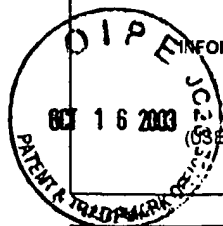
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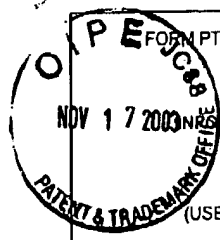
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EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
A	184	Lamar and Campbell "Vortex Flaps-Advanced Control Devices for Supercruise Fighters" Jan. 1984, Aerospace America.
	185	"BZ" bodysurfing fin (Picture), 1 <sup>st</sup> production in 1990
	186	"Blade Pro" bodysurfing fin (Picture), 1 <sup>st</sup> production 1991
	187	"Wave Rebel" bodysurfing fin (Picture), 1 <sup>st</sup> production 1994
	188	Art. 1400 Pinna "Professional" Fin (1989)
	189	
	190	
	191	
	192	

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EXAMINER	WR 16/04	DATE CONSIDERED	5/14/04
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1						
2						

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
<i>A</i>	3	WO 01 85267 A	November 15, 2001	PCT	—	—	
<i>A</i>	4	EP 1 082 975 A	March 14, 2001	Europe	—	—	
5							

## OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

EXAMINER INITIAL	
6	
7	

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EXAMINER	<i>WRIGHT</i>	DATE CONSIDERED	5/14/04
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.			